

No: 215/2021/PHS-PL

Re: *information disclosure on Resolutions of  
BOD dated 19 November 2021*

*Ho Chi Minh City, November 19<sup>th</sup>, 2021*

**INFORMATION DISCLOSURE ON WEBSITE OF STATE SECURITIES  
COMMISSION AND THE STOCK EXCHANGES**

**To:**

- **State Securities Commission;**
- **Hanoi Stock Exchange;**
- **Ho Chi Minh Stock Exchange.**

Name: **PHU HUNG SECURITIES CORPORATION (PHS)**

Headquarters: 21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City.

Phone: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472

Person to implement information disclosure: Mr. Chen Chia Ken

Type of information disclosure:  24 hours  72 hours  Unusual  Periodic

**Information of disclosed content:**

According to Circular 96/2020/TT-BTC on guidance for information disclosure on securities market, PHS sincerely discloses that Board of Directors (“**BOD**”) of PHS passed:

1. Relocation and amendment to registered address of Tan Binh Branch with State Securities Commission; and
2. Renewing Credit Line with Bank Sinopac (OBU) and with Indovina Bank Limited.

(for further information, please find the attached).

The information is disclosed on PHS’s website at <https://www.phs.vn>, tab Investor Relations, and section Shareholder Information.

PHS undertakes the information disclosure above is true and takes entire responsibility to the laws for information of disclosed content.

**Attachments:**

- Resolution of BOD No. 02/63\_0921/NQ-HDQT dated 19 November 2021;
- Resolution of BOD No. 03/63\_0921/NQ-HDQT dated 19 November 2021.

**Recipient:**

- As above;
- Archive at Company Secretariat;
- Archive at Legal Division.



**Mr. CHEN CHIA KEN**

Ref. No.: 02/63\_0921/NQ-HĐQT

Ho Chi Minh City, November 19<sup>th</sup>, 2021

**RESOLUTION**  
**BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION**  
**(TERM 2019 – 2022)**

- Pursuant to Law on Enterprises;
- Pursuant to the current Law on Securities;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/63\_0921/BB-HĐQT dated November 19<sup>th</sup>, 2021 of Board of Directors of the Company;

**RESOLVE:**

**Article 1: Resolution 4**

The Board of Directors passed the Moving Office of Tan Binh Branch and changing its registered address with State Securities Commission, main details as below:

- Current address: GF, Room G4.A, Etown 2, 364 Cong Hoa, Ward 13, Tan Binh District, Ho Chi Minh City.
- New registered address: GF, Park Legend Building, 251 Hoang Van Thu, Ward 02, Tan Binh District, Ho Chi Minh City.

The Board of Directors authorized for General Director to implement and sign all documents related to the necessary procedures accordance with law about this issue.

**Article 2: Organization and implementation**

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 2;
- Archived at BOD Secretary;
- Archived at Company Secretariat.

For and on behalf of B.O.D.  
Chairman  
  
Albert Kwang-Chin Ting

Ref. No.: 03/63\_0921/NQ-HĐQT

Ho Chi Minh City, November 19<sup>th</sup>, 2021

**RESOLUTION**  
**BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION**  
**(TERM 2019 – 2022)**

- Pursuant to Law on Enterprises;
- Pursuant to the current Law on Securities;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/63\_0921/BB-HĐQT dated November 19<sup>th</sup>, 2021 of Board of Directors of the Company;

**RESOLVE:**

**Article 1: Resolution 5**

The Board of Directors passed the Renewing Credit Line with Bank Sinopac (OBU), main details as below:

Credit facility	USD10,000,000 (Ten million US dollars)
Facility period	One year

**Article 2: Resolution 6**

The Board of Directors passed the Renewing Credit Line with Indovina Bank Limited – Business Center, main details as below:

Credit facility	VND100,000,000,000 (One hundred billion Vietnam dong)
Credit facility period	One year

**Article 3: Organization and implementation**

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 3;
- Archived at BOD Secretary;
- Archived at Company Secretariat.

**For and on behalf of B.O.D.**  
**Chairman**  
**CÔNG TY**  
**CỔ PHẦN**  
**CHỨNG KHOÁN**  
**PHÚ HƯNG**  
**QUẬN 7 - T.P. HỒ CHÍ MINH**  
Albert Kwang-Chin Ting